

DOCKET NO.: MSFT-2846/306722.01

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Ashish Shah, et al.

Confirmation No.: 2600

Application No.: 10/693,362

Group Art Unit: 2188

Filing Date: October 24, 2003

Examiner: Not Yet Assigned

For: **SYSTEM AND METHODS FOR IMPLEMENTATION OF A
SYNCHRONIZATION SCHEMAS FOR UNITS OF INFORMATION
MANAGEABLE BY A HARDWARE/SOFTWARE INTERFACE SYSTEM**

DATE OF DEPOSIT:

July 5, 2006

I HEREBY CERTIFY THAT THIS PAPER IS BEING
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TYPED NAME: Jonathan M. Waldman
REGISTRATION NO.: 40,861

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified

application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☒ Copies of reference numbers **115** listed on the attached Form PTO-1449 are enclosed herewith.

☒ Copies of reference numbers **116, 117** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for

which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date:

July 5, 2006



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Sheet 1 of 2

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-2846/306722.01		Application No. 10/693,362
	Applicant Ashish Shah, et al.		
	Filing Date October 24, 2003		Group 2188
	Confirmation No. 2600		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	115	Guy, R.G. et al., "Implementation of the Ficus Replicated File System", <i>Proceedings of the Summer USENIX Conference, 1990</i> , 63-71, XP-002234187	
EXAMINER		DATE CONSIDERED	

Form PTO-1449 Modified

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
MSFT-2846/306722.01

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Filing Date
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Group
2188

Confirmation No.
2600

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	116	5,774,717	06/30/98	Porcaro, et al.	707	202
	117	6,553,391 B1	04/22/03	Goldring, R.D. et al.	707	203

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

EXAMINER**DATE CONSIDERED**